

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: SUBSCRIPTION PRODUCTS FINANCIAL ACTIVITY

GENERAL INFORMATION

File Frequency: Daily
Record Size: 500 characters
Process Name: Base Job–SFASPFDP; FTP–FTASPFDP; NDM–NDASPFDP
Form Name: ASPF

DESCRIPTION

The Subscription Products Financial Activity file (ASPF) is created daily from the Automated Subscription Products System (ASPS) and contains all financial activity sent to Pershing from participating Insurance Companies. Headers and trailers are included as part of the file. The ASPF file, together with the ASPO file, will eventually replace the ASP2 file, which currently contains all data for direct orders and transactional activity (financial and non-financial).

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "ASPF B ACTIVITY B FILE"
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-499	X(14)	AN	Not Used
500-500	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AF"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-015	X(04)	AN	INTRODUCING BROKER DEALER NUMBER
016-018	X(03)	AN	OFFICE NUMBER
019-022	X(04)	AN	REGISTERED REP NUMBER
023-031	X(09)	AN	ACCOUNT NUMBER INCLUDING OFFICE (3); BASE ACCOUNT (5); CHECK DIGIT (1)
032-039	9(08)	N	ACTIVITY SEQUENCE NUMBER
040-100	X(61)	AN	Not Used
101-400	X(300)	AN	Refer to the document "DTCC Record Layout: Financial Activity Reporting (FAR)" for the various record types and layouts that correspond to this 300-byte field.
401-499	X(99)	AN	Not Used
500-500	X(01)	AN	END OF RECORD DELIMITER 'X'

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "ASPF B ACTIVITY B FILE"
037-046	X(10)	AN	Literally " B B DATA B OF B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE B TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-499	X(03)	AN	Not Used
500-500	X(01)	AN	Literally "Z"; indicates the end of the trailer record