

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: MUTUAL FUND DEALER AGREEMENTS

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 250 characters
 Process Name: RJE—SFMFDADP; NDM—NDM2A8DP;
 FTP—DTM2A8D\$
 Form Name: MFDA

DESCRIPTION

The Mutual Fund Dealer Agreements file (MFDA) is created daily reflecting the information on mutual fund dealer agreements between different introducing broker/dealers (IBDs) and fund families.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	A	Literally "BOF BBBBBB PERSHING B " (beginning of Pershing file)
019-036	X(18)	A	Literally "MFDA B DEALER B AGRMT B "
037-046	X(10)	A	Literally " B DATA B OF B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	A	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	A	Literally " B BEGINS B HERE B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	A	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(145)	AN	Not Used
250-250	X(01)	A	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "MD"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-015	X(04)	AN	IBD NUMBER
016-019	X(04)	AN	FUND FAMILY NUMBER
020-039	X(20)	AN	Not Used
040-071	X(32)	AN	IBD NAME
072-103	X(32)	AN	FUND FAMILY NAME
104-128	X(25)	AN	AGREEMENT ID
129-138	X(10)	AN	AGREEMENT EFFECTIVE DATE = "CCYY-MM-DD"
139-148	X(10)	AN	AGREEMENT EXPIRATION DATE = "CCYY-MM-DD"
149-174	X(26)	AN	UPDATE TIMESTAMP = "CCYY/MM/DD-HH:MM:SS.NNNNNN"
175-249	X(75)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of the detail record

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	A	Literally "EOF B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "MFDA B DEALER B AGRMT B "
037-046	X(10)	A	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	A	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-082	X(11)	AN	Literally " B ENDS B HERE."
083-105	X(23)	A	Literally "TOTAL B DETAIL B RECORDS B B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record