

# PERSHING STANDARD FILE LAYOUTS

## FILE LAYOUT FOR: LOAN ADVANCE

### GENERAL INFORMATION

File Frequency: Monthly  
 Record Size: 250 characters  
 Form Name: LADV

### DESCRIPTION

The Loan Advance file (LADV) contains the details of an introducing broker dealer's (IBD's) interest participation related to a LoanAdvance<sup>®</sup> loan. This displays Pershing's interest participation, as well as the IBD's interest participation. This file is produced monthly on the 20<sup>th</sup> of each month, or the first business day following.

### FILE LAYOUT SPECIFICATIONS

#### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF" followed by 8 "B"s followed by "PERSHING" followed by "B" (beginning of Pershing file)
019-036	X(18)	AN	Literally "LOAN" followed by "ADVANCE" followed by "FILE" followed by "B"
037-046	X(10)	AN	Literally "B" followed by "DATA" followed by "OF" followed by "B" followed by "B"
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally "B" followed by "TO" followed by "REMOTE" followed by "B"
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally "B" followed by "BEGINS" followed by "HERE" followed by "B" followed by "B"
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(45)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

#### DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "LD"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"

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POSITION	PICTURE	TYPE	DESCRIPTION
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-014	X(03)	AN	IBD NUMBER
015-023	X(09)	AN	ACCOUNT NUMBER INCLUDING OFFICE (3); BASE ACCOUNT (6)
024-027	X(04)	AN	INVESTMENT PROFESSIONAL NUMBER
028-045	9(16)V9(02)	N	AVAILABLE BALANCE AMOUNT
046-046	X(01)	AN	AVAILABLE BALANCE SIGN; values dependent on previous field; acceptable values include: "+" "-"
047-064	9 (09)v9(09)	N	AVERAGE RATE
065-065	X(01)	AN	AVERAGE RATE SIGN; values dependent on previous field; acceptable values include: "+" "-"
066-083	9(09)v9(09)	N	PERSHING KEEP RATE (PD RATE)
084-084	X(01)	AN	PERSHING KEEP RATE (PD RATE) SIGN; values dependent on previous field; acceptable values include: "+" "-"
085-102	9(09)v9(09)	N	IBD CHARGE RATE (ED IBD)
103-103	X(01)	AN	IBD CHARGE RATE (ED IBD) SIGN; values dependent on previous field; acceptable values include: "+" "-"
104-121	9(16)v9(02)	N	PARTICIPATION AMOUNT
122-122	X(01)	AN	PARTICIPATION SIGN; values dependent on previous field; acceptable values include: "+" "-"
123-140	9(16)v9(02)	N	REVENUE AMOUNT
141-141	X(01)	AN	REVENUE SIGN; values dependent on previous field; acceptable values include: "+" "-"
142-249	X(108)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of the detail record

## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF" followed by 10 "B" characters, "PERSHING" followed by 1 "B" character (end of Pershing file)

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POSITION	PICTURE	TYPE	DESCRIPTION
019-036	X(18)	AN	Literally “ <b>B</b> LOAN <b>B</b> ADVANCE <b>B</b> FILE <b>B</b> ”
037-046	X(10)	AN	Literally “ <b>B</b> DATA <b>B</b> OF <b>B</b> ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ <b>B</b> TO <b>B</b> REMOTE <b>B</b> ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-083	X(12)	AN	Literally “ <b>B</b> ENDS <b>B</b> HERE <b>B</b> ”
084-105	X(22)	AN	Literally “TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> ”
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-117	X(02)	AN	Not Used
118-126	X(09)	AN	Indicates if the file is NOT APPLICABLE – literally “ <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> ”
127-249	X(123)	AN	Not Used
250-250	X(01)	AN	Literally “Z”; indicates the end of the trailer record