

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: ID MANAGEMENT

GENERAL INFORMATION

File Frequency: Weekly
 Record Size: 250 characters
 Process Name: Base—SFIMSFDP; NDM—NDIMSFDP; FTP—FTIMSFDP\$
 Form Name: IMSF

DESCRIPTION

The ID Management (IMSF) file is created weekly and available every Sunday evening after the Top Secret System (TSS) clean up process. The purpose of the file is to notify introducing broker dealers of the users eligible for Single Sign-On (SSO), as designated in Top Secret, and those whose passwords have less than 14 days before expiration.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "USERID B TRACKING B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(145)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

DETAIL RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "SP"
003-003	X(01)	AN	RECORD IDENTIFIER; acceptable values include: (cont.)

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POSITION	PICTURE	TYPE	DESCRIPTION
			"A" = SSO ID Record Type "B" = Hybrid ID Record Type "C" = Direct ID Record Type
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-019	X(08)	AN	PERSHING USER ID
020-083	X(64)	AN	PROPRIETARY USER ID; user ID provided by the introducing broker dealer at user creation time
084-087	X(04)	AN	INTRODUCING BROKER DEALER NUMBER (IBD)
088-119	X(32)	AN	USER FIRST NAME
120-151	X(32)	AN	USER LAST NAME
152-159	X(08)	AN	ID CREATION DATE = "CCYYMMDD"
160-167	X(08)	AN	DATE USED LAST = "CCYYMMDD"
168-168	X(01)	AN	STATUS INDICATOR; acceptable values include: "S" = Suspended (IBD can un-suspend) "E" = Expired "A" = Active "P" = Suspended (Pershing Administrator can unsuspend)
169-171	9(03)	N	NUMBER OF DAYS REMAINING BEFORE USERID EXPIRES
172-172		AN	SSO/HYBRID INDICATOR; acceptable values include: "S" = SSO "H" = Hybrid
173-249	X(77)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of the detail record

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "USERID B TRACKING B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE B TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record