

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: BANK ACTIVITY AM

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 500 characters
 Process Name: NJE—N/A; RJE—PRJ147DP; InfoDirect—INF147DP;
 FTP—DTM147D\$
 Form Name: BAAM

DESCRIPTION:

ACAM Bank Activity Report (AM) is for BankLink IBD's and provides detail on scheduled daily debit and credit BankLink entries and daily totals. BankLink is a service that will automatically settle any trades and credit any dividends to/from your Pershing account and your bank account.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-009	X(09)	AN	Literally "BOF" followed by 9 B's (beginning of Pershing)
010-018	X(09)	AN	Literally "PERSHING" followed by 1 B
019-036	X(18)	AN	Literally "BANK" followed by 18 B's
037-046	X(10)	AN	Literally "ACTIVITY" followed by 10 B's
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally "REMO" followed by 11 B's
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally "HERE" followed by 14 B's
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-499	X(395)	AN	Not Used
500-500	X(01)	AN	Literally "A"; indicates the end of the header record

PERSHING STANDARD FILE LAYOUTS

DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE="BA"
003-003	X(01)	AN	RECORD ID CODE= "A"
004-011	9(08)	N	RECORD SEQUENCE NUMBER BEGINNING WITH
012-014	X(03)	AN	IBD NUMBER
015-023	X(09)	AN	ABA NUMBER (unique nine-digit number, as maintained American Bankers Association, which identifies a particular
024-024	X(01)	AN	OFFSET INDICATOR; identifies whether this is the account or the customer account; acceptable values "N" = Customer Account "Y" = Offset Account
025-041	X(17)	AN	DDA NUMBER (Demand Deposit Account number, particular customer account at a particular bank)
042-044	X(03)	AN	INVESTMENT PROFESSIONAL NUMBER
045-064	X(20)	AN	DESCRIPTION1; activity description
065-084	X(20)	AN	DESCRIPTION2; activity description
085-104	X(20)	AN	DESCRIPTION3; activity description
105-124	X(20)	AN	DESCRIPTION4; activity description
125-144	X(20)	AN	DESCRIPTION5; activity description
145-164	X(20)	AN	DESCRIPTION6; activity description
165-184	X(20)	AN	DESCRIPTION7; activity description
185-204	X(20)	AN	DESCRIPTION8; activity description
205-224	X(20)	AN	DESCRIPTION9; activity description
225-241	9(15)v99	N	ORIGINAL AMOUNT
242-242	X(01)	AN	ORIGINAL AMOUNT SIGN; acceptable values include: "- " = Negative "+ " = Positive
243-259	9(15)v99	N	ADJUSTED AMOUNT
260-260	X(01)	AN	ADJUSTED AMOUNT SIGN; acceptable values include: "- " = Negative "+ " = Positive
261-268	X(08)	N	ACTIVITY DATE = "CCYYMMDD"
269-277	X(09)	AN	CUSIP NUMBER
278-286	X(09)	AN	OFFSET ABA NUMBER (unique nine-digit number, as by the American Bankers Association, which identifies a
287-303	X(17)	AN	OFFEST DDA NUMBER (Demand Deposit Account identifies a particular customer account at a particular
304-304	X(01)	AN	OFFSET TYPE; acceptable values include:

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
			"F" = Fed "A" = ACH
305-313	X(09)	AN	ACCOUNT NUMBER INCLUDING OFFICE (3); BASE NUMBER (6)
314-322	X(09)	AN	OFFSET ACCOUNT NUMBER INCLUDING OFFICE NUMBER (6)
323-323	X(01)	AN	ADJUST/DELETE INDICATOR; acceptable values "A" = Adjusted "D" = Deleted "B" = Neither
324-324	X(01)	AN	PURCHASE OVERRIDE INDICATOR; acceptable "Y" = Created Manually "P" = With Purchase Override "B" = Neither
325-334	X(10)	AN	ACCOUNT SHORT NAME
335-335	X(01)	AN	IRA INDICATOR; acceptable values include: "I" = IRA Account "B" = Regular Account
336-342	X(07)	AN	SWEEP ID (first fund sweep ID or BankLink sweep ID)
343-343	X(01)	AN	ACCOUNT TYPE; acceptable values include: "0" = Cash on Delivery "1" = Cash "2" = Margin "3" = Short "8" = Precious Metals "9" = Interest Adjustments
344-499	X(156)	AN	Not Used
500-500	X(01)	AN	Literally "X"; indicates the end of the detail record

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-009	X(09)	AN	Literally "EOFBBBBB" (end of Pershing file)
010-018	X(09)	AN	Literally "PERSHINGB"
019-036	X(18)	AN	Literally "BANKBACTIVITYBAMB B"
037-046	X(10)	AN	Literally "BDATEB OFBB"
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally "BTOBREMOTEB"

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally "B ENDS B HERE. B"
084-105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS: B"
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-499	X(384)	AN	Not Used
500-500	X(01)	AN	Literally "Z"; indicates the end of the trailer record