

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: APPENDIX

GENERAL INFORMATION

File Frequency: Daily
Record Size: 150 characters
Process Name: NDM—NDAPPXDP; FTP—FTAPPXD\$
Form Name: APPX

DESCRIPTION

The Appendix file (APPX) contains appendix information such that recipients can automatically receive updated information and incorporate it into their programs. Record type C contains Country of Issuance Codes. The Country of Issuance Codes are used to identify the country from which a security transaction originates. These codes are used in the following standard files: DESC, ISC#/DSC#, and NAFL/NADD/NCHG. Record type E contains Minor Product Codes. The Minor Product Codes identify all security products supported by Pershing with a more detailed product description. They are used in the following standard files: BACT, DESC, and ISC#/DSC#. Record type H contains CAPS Product Codes. The CAPS Product Codes are a list of standard products that provide trading detail in records. A more comprehensive list of CAPS product codes can be found in the CAPS system. Refer to this in conjunction with the following standard files: CAPS/CAPT and CPSM/CPTM. Record type I contains ACAM Codes. The ACAM Codes identify the different types of money fund activity that can appear in the ACAM history record file. Refer to this in conjunction with the ACTV file. Record type M contains Document Codes and Document Descriptions. This information is used in the following standard files: KTMS and KTRV. Record type N contains Currency Codes and names. This information is used in the following standard files: CAPS/CAPT and GTDE. Record type Q contains Country Codes and values. This information is used in the ACCT/ACCF standard file. Record type R contains Account Category Codes and is used in the following standard file: NAFL/NCHG/NADD.

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FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF" followed by 7 blank characters followed by "PERSHING" followed by 1 blank character (beginning of Pershing file)
019-036	X(18)	AN	Literally "APPX" followed by 1 blank character followed by "STND" followed by 1 blank character followed by "FILE" followed by 4 blank characters
037-046	X(10)	AN	Literally " " followed by 1 blank character followed by "DATA" followed by 1 blank character followed by "OF" followed by 2 blank characters
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " " followed by 1 blank character followed by "TO" followed by 1 blank character followed by "REMOTE" followed by 1 blank character
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " " followed by 1 blank character followed by "BEGINS" followed by 1 blank character followed by "HERE" followed by 2 blank characters
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-149	X(45)	AN	Not Used
150-150	X(01)	AN	Literally "A"; indicates the end of the header record

DETAIL RECORD C

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AX"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "C"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-014	X(03)	AN	COUNTRY CODE
015-044	X(30)	AN	COUNTRY NAME
045-149	X(105)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of the detail record

DETAIL RECORD E

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AX"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "E"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
012-014	X(03)	AN	MINOR PRODUCT CODE
015-044	X(30)	AN	MINOR PRODUCT CODE DESCRIPTION
045-149	X(105)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of the detail record

DETAIL RECORD H

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AX"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "H"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-015	X(04)	AN	CAPS CODE
016-035	X(20)	AN	CAPS NAME
036-149	X(114)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of the detail record

DETAIL RECORD I

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AX"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "I"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-015	X(04)	AN	ACAM CODE
016-016	X(01)	AN	ACAM INDICATOR
017-036	X(20)	AN	ACAM TYPE
037-149	X(113)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of the detail record

DETAIL RECORD M

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AX"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "M"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"

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POSITION	PICTURE	TYPE	DESCRIPTION
012-015	X(04)	AN	DOCUMENT CODE
016-060	X(45)	AN	DOCUMENT DESCRIPTION
061-149	X(89)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of the detail record

DETAIL RECORD N

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AX"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "N"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-014	X(03)	AN	CURRENCY CODE
015-044	X(30)	AN	CURRENCY NAME
045-149	X(105)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of the detail record

DETAIL RECORD Q

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AX"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "Q"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-021	X(10)	AN	COUNTRY CODE
022-101	X(80)	AN	COUNTRY DESCRIPTION
102-149	X(49)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of the detail record

DETAIL RECORD R

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AX"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "R"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH "00000001"
012-015	X(04)	AN	ACCOUNT CODE

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
016-050	X(35)	AN	ACCOUNT DESCRIPTION
051-149	X(99)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of the detail record

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "APPX B STND B FILE B B B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally " B ENDS B HERE. B "
084-105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-149	X(34)	AN	Not Used
150-150	X(01)	AN	Literally "Z"; indicates the end of the trailer record