

# PERSHING STANDARD FILE LAYOUTS

## FILE LAYOUT FOR: EQUITY DIVIDEND REINVESTMENT ELECTION

### GENERAL INFORMATION

File Frequency: Daily  
Record Size: 250 characters  
Process Name: NDM—PRJ106DP; RJE—PRJ105DP; InfoDirect—INF105DP;  
FTP—DTM105DS  
Form Name: DVRE

### DESCRIPTION

The Equity Dividend Reinvestment Election file contains information that indicates which eligible securities within your firm's accounts have elected dividend reinvestments and, or capital gain reinvestments on eligible securities and closed end mutual funds. This is a daily refresh file.

### FILE LAYOUT SPECIFICATIONS

#### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF <b>B B B B B</b> PERSHING <b>B</b> " (beginning of Pershing File)
019-036	X(18)	AN	Literally "DIVIDEND <b>B</b> REINVEST <b>B</b> "
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(4)	AN	REMOTE ID = "XXXX" format
072-085	X(14)	AN	Literally " <b>B</b> BEGINS <b>B</b> HERE <b>B B</b> "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(145)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

# PERSHING STANDARD FILE LAYOUTS

## DETAIL RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	RECORD TYPE INDICATOR = "DV"
003-003	X(01)	AN	RECORD SEQUENCE IDENTIFIER = "A"
004-011	9(08)	N	RECORD SEQUENCE NUMBER BEGINNING WITH 00000001
012-020	X(09)	AN	ACCOUNT NUMBER including OFFICE (3); BASE ACCOUNT (5); CHECK DIGIT (1)
021-029	X(09)	AN	CUSIP®; acceptable values include: The actual CUSIP Literally "BBBBBB"—the default for all eligible securities
030-030	X(01)	AN	DIVIDEND REINVESTMENT ELECTION; acceptable values include: "Y" = Reinvest dividends for this account or CUSIP "N" = Do not reinvest dividends for this account or CUSIP
031-031	X(01)	AN	CAPITAL GAINS REINVESTMENT ELECTION ON CLOSED END MUTUAL FUNDS; acceptable values include: "Y" = Reinvest capital gains for this account "N" = Do not reinvest capital gains for this account
032-039	9(08)	N	LAST UPDATE DATE = "CCYYMMDD"
040-249	X(210)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of the detail record

## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	A	Literally "EOFBBBBB PERSHING B" (end of Pershing Record)
019-036	X(18)	AN	Literally "DIVIDEND B REINVEST B"
037-046	X(10)	AN	Literally "B DATA B OF B B"
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally "B TO B REMOTE B"
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally "B ENDS B HERE B TOTAL B DETAIL B RECORDS: B B"
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record