

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: SUBSCRIPTION PRODUCTS

GENERAL INFORMATION

File Frequency: Daily
Record Size: 132 characters
Process Name: NJE—PRJ175DP; RJE—PRJ031DP; InfoDirect—INF232DP;
FTP—DTM031D\$
Form Name: ASPS

DESCRIPTION

This file originates from the Subscription Products System (ASPS) and results from annuity orders that have been processed through ASPS, and acknowledged by the product sponsor. The Subscription file contains all data pertinent to the position such as the contract number and subaccount balances (including their valuations), as provided by the product sponsors.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " of Pershing file)
019-037	X(19)	AN	Literally "SUBSCRIPTION B PRODUC"
038-046	X(09)	AN	Literally "DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-131	X(27)	AN	Not Used
132-132	X(01)	AN	Literally "A" indicates the end of the header record

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DETAIL RECORD ONE

POSITION	PICTURE	TYPE	DESCRIPTION
001-001	X(01)	AN	RECORD INDICATOR = "1"
002-010	X(09)	AN	ACCOUNT NUMBER INCLUDING OFFICE (3); NUMBER (5); CHECK DIGIT (1)
011-013	X(03)	AN	INVESTMENT PROFESSIONAL NUMBER
014-026	X(13)	AN	SUBSCRIPTION PRODUCTS REFERENCE
027-041	X(15)	AN	CONTRACT NUMBER
042-043	X(02)	AN	SUBSCRIPTION STATUS; acceptable values "01" = Entered "02" = Hold "03" = Pending Release "04" = Released "05" = Transmit "07" = Acknowledged "08" = Active "09" = Closed "10" = Deleted "11" = Canceled "12" = Rejected "14" = Processed
044-052	X(09)	AN	CUSIP NUMBER
053-053	X(01)	AN	PRODUCT TYPE; acceptable values include: "V" = Variable Annuity "F" = Fixed Annuity "O" = OffShore Annuity
054-068	9(09)v9(06)	N	SHARE QUANTITY
069-083	9(13)v9(02)	N	SHARE PRICE
084-098	9(13)v9(02)	N	CONTRACT VALUE AMOUNT
099-100	9(02)	N	NUMBER OF UNDERLYING FUNDS
101-108	X(08)	AN	PRODUCT CODE
109-132	X(24)	AN	Not Used

DETAIL RECORD TWO

POSITION	PICTURE	TYPE	DESCRIPTION
001-001	X(01)	AN	RECORD TYPE INDICATOR = "2"
002-010	X(09)	AN	ACCOUNT NUMBER INCLUDING OFFICE (3); NUMBER (5); CHECK DIGIT (1)

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POSITION	PICTURE	TYPE	DESCRIPTION
011-013	X(03)	AN	INVESTMENT PROFESSIONAL NUMBER
014-026	X(13)	AN	SUBSCRIPTION REFERENCE NUMBER
027-132	X(106)	AN	PRODUCT DESCRIPTION

DETAIL RECORD THREE

POSITION	PICTURE	TYPE	DESCRIPTION
001-001	X(01)	AN	RECORD INDICATOR = "3"
002-010	X(09)	AN	ACCOUNT NUMBER INCLUDING OFFICE (3); NUMBER (5); CHECK DIGIT (1)
011-013	X(03)	AN	INVESTMENT PROFESSIONAL NUMBER
014-026	X(13)	AN	SUBSCRIPTION REFERENCE NUMBER
027-039	X(13)	AN	INVESTMENT CODE
040-069	X(30)	AN	UNDERLYING FUND DESCRIPTION
070-084	9(09)v9(06)	N	UNITS HELD
085-099	9(09)v9(06)	N	UNIT PRICE
100-105	9(03)v9(03)	N	GUARANTEED RATE (zero if it is not a fixed
106-113	X(08)	AN	MATURITY DATE = "MMDDCCYY"
114-119	9(03)v9(03)	N	INVESTMENT PERCENTAGE
120-132	X(13)	AN	Not Used

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B PERSHING B " (end of file)
019-037	X(19)	AN	Literally "SUBSCRIPTION B PRODUCT"
038-046	X(09)	AN	Literally "DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE."
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-131	X(16)	AN	Not Used
132-132	X(01)	AN	Literally "Z"; indicates the end of the trailer record