

PERSHING STANDARD FILE LAYOUTS

APPENDIX E: MINOR PRODUCT CODES

USED IN THE FOLLOWING STANDARD FILES: BACT, DESC, GACT, ISC/DSC1-5

Product Code	Product Code Name
B00	Banker's Acceptance
P00	Interest-Bearing Commercial Paper
P01	Discounted Commercial Paper
000	Mutual Fund (Open-End)
010	Precious Metals (Gold Bar)
011	Precious Metals (Silver Bar)
012	Precious Metals (Platinum Bar)
013	Precious Metals (Palladium Bar)
014	Precious Metals (Gold Coin)
015	Precious Metals (Silver Coin)
030	Mutual Fund (No-Load)
040	Limited Partnerships
050	Annuities
051	UIT (Nontaxable)
052	UIT (Taxable Interest)
053	UIT (Taxable Dividends)
060	Index
071	Mutual Fund (Open-End, Nontaxable)
072	Mutual Fund (Open-End, Taxable)
081	Mutual Fund (No-Load, Nontaxable)
082	Mutual Fund (No-Load, Taxable)
083	Mutual Fund (Money Market, Nontaxable)
084	Mutual Fund (Money Market, Taxable)
100	Equities
105	Master Limited Partnership (Common Stock)
106	LTD Liability Company
110	Trust Distribution
151	Mutual Funds (Closed-End, Nontaxable)
152	Mutual Funds (Closed-End, Taxable)
154	Real Estate Investment Trusts (REITs) Excluding Private Book Entry
160	Option Indexes
161	ETF Non-Taxable
162	ETF Taxable
166	Private Book Entry REITS
200	Equity Preferred (Taxable)
201	Preferred Capital Securities

Product Code	Product Code Name
202	Preferred Capital Securities (Interest Bearing)
205	Master Limited Partnership (Pfd)
206	Limited Liability Company (Pfd)
251	Equity Preferred (Nontaxable)
252	Equity Preferred (Taxable)
254	Real Estate Investment Trust (Pfd)
300	Rights and Warrants
305	Master Limited Partnership (Warrants/Rights)
401	Municipal (When Issued)
402	Government (When Issued)
403	Corporate Bond (When Issued)
500	Debentures
501	Convertible Debentures
502	Corporate Notes
503	Equipment Trust Certificate
504	Collateral Trust Certificate
505	Long-Term S&L CD
506	Short-Term S&L CD
507	Collateral Mortgage Obligation
508	DARTS (Foreign)
509	Foreign Denominated Bonds
511	Private Placements
512	Exchange Items (Users)
513	Asset-Backed Securities
514	Real Estate Mortgage Investment Conduits (REMICS)
516	Depository Notes
517	Certificates of Indebtedness
518	I.B.R.D.
520	Corporate Term Notes
521	Stepped Coupons
522	Long-Term Commercial Bank CDs
523	Short-Term Commercial Bank CDs
524	Quasi-Governmental Agencies
525	Zero Coupon CDs
526	Pay-In-Kind

PERSHING STANDARD FILE LAYOUTS

Product Code	Product Code Name
527	First Mortgage Revenues
528	Index Linked CDs
529	Foreign (Eurobond-Eligible)
530	Mexican Bond
531	South African Bonds
532	United Kingdom Bonds
533	Mexican Certificate of Deposit
550	Debentures
551	Convertible Debentures (30-Day Record)
552	Corporate Notes (30-Day Record)
555	Time Deposits
575	Qualified Retirement Plan Loan
580	Corporate Bond Inflation Indexed Security
581	Corporate Bond Stripped Inflation Index
582	Corporate Stripped Coupon Inflation Index
600	General Obligations
601	Revenue Bonds
602	Municipal Collateralized Mortgage Obligation
603	Double-Barrel General Obligations Revenue
604	Short-Term Municipal
605	Exchange Items
606	Tripped Municipals
607	New Public Housing Bonds
608	ID-Zeros
609	Rate-C-Adjust
610	Stepped-Coupon
611	Compound Multiple
612	Municipal Notes
613	Municipal Warrants
614	Certificates of Participation
615	Amount Bonds
616	Tax and Revenue Anticipation Notes
617	Public Improvement Bonds
618	Current Interest 0%
620	Variable Rate Demand Obligation (Weekly/Daily Floaters)
650	Municipal Derivatives
660	Municipal Paper
670	Maturity Value Above \$1000
680	Municipal Bond Inflation Indexed Security
681	Municipal Stripped Inflation Indexed Security

Product Code	Product Code Name
682	Municipal Stripped Coupon Inflation Indexed
699	Test Municipal
700	Direct U.S. Obligation (Generic)
701	U.S. Treasury Bills
702	U.S. Treasury Bonds (Non-Callable)
703	U.S. Treasury Bonds (Callable)
704	U.S. Treasury Flower Bonds
705	U.S. Treasury Notes
706	U.S. Treasury Strips
707	U.S. Treasury Strips Callable
708	U.S. Treasury Discount Notes
709	Agency Discount Notes
710	Government Agency Strips
711	Certificate Accrual Treasury Security (CATS)
712	Treasury Investment Growth Receipts (TIGRS)
713	Certificate on Government Receipt (COUGARS)
714	Government and Agency Term Obligation Receipts (GATRS)
715	Coupon Treasury Receipts
716	Zero Coupon Receipts
717	Treasury Bond Receipts
718	Easy-Growth Treasury Receipts
719	Treasury Receipts (Callable)
720	Government Agencies Coupon Bearing
721	Farm Credit Bank
722	Federal Home Loan Bank
723	Federal National Mortgage Association Debentures
724	Government Agency Term Notes
725	U.S. Postal Service Bonds
726	Student Loan Marketing Association
727	Government Agency Zeros
728	Exchange Items
729	Resolution Trust Corporation
730	Veterans U.S. Department Affair
731	Government Agencies Medium Notes
740	Government National Mortgage Association (Generic)
741	Government Part Certificates
742	Government National Mortgage Association Partial Certificates

PERSHING STANDARD FILE LAYOUTS

Product Code	Product Code Name
743	Federal National Mortgage Association Participation Certificate
744	Federal Home Loan Mortgage Corporation Participation Certificate
745	Puerto Rico Serial Government National Mortgage Association
747	Government Agency Inflation Index Security
748	Government Agency Stripped Inflation Index
749	Government Agency Stripped Coupon Inflation Index
750	Government National Mortgage Association (REMICS)
751	Federal Home Loan (REMICS)
752	Federal National Mortgage Association (REMICS)
753	Not Used
754	Canadian Treasury Bills
755	Federal National Mortgage Association Strips
757	GNMA REMIC Interest Only
760	Federal Home Loan Mortgage Corporate Strips
761	Federal Home Loan Mortgage Corporation Collateralized Mortgage
762	Federal National Mortgage Association Collateralized Mortgage
763	Federal Home Loan Mortgage Corporation "Gold" Adjustment (REMICS)
764	Federal Home Loan Mortgage Corporation "Gold" Manufactured
765	Federal Home Loan Mortgage Corporation "Gold"
766	Federal Home Loan Mortgage Corporation "Gold" Pass Through (REMICS)
767	Federal Home Loan Mortgage Corporation "Gold" Pass Through Product Code 767 cont. (REMICS)
768	Federal Home Loan Mortgage

Product Code	Product Code Name
	Corporation "Gold" Collateralized Mortgage Obligation
769	Federal Home Loan Mortgage Corporation "Gold" Stripped (I.O.)
770	Federal Home Loan Mortgage Corporation "Gold" Stripped (P.O.)
771	Federal Home Loan Mortgage Corporation "Gold" Stripped (RECOMB)
772	Federal Home Loan Mortgage Corporation "Gold" Cash Flow Obligation
773	Federal Home Loan Mortgage "Gold" Participation Certificate
774	Federal Home Loan Mortgage "Gold" Adjusted Participation Certificate
775	Federal Home Loan Mortgage "Gold" (REMICS)
782	Federal National Mortgage Association Floater
783	Federal National Mortgage Association Inverse Floater
784	Federal National Mortgage Association Interest Only
785	Federal National Mortgage Association Principal Only
786	Federal Home Loan Mortgage Corporation Floater
787	Federal Home Loan Mortgage Corporation Inverse Floater
788	Federal Home Loan Mortgage Corporation Interest Only
789	Federal Home Loan Mortgage
791	P & S Bonds
792	Treasury Inflation Program Security Notes
793	Treasury Inflation Program Security Stripped (Principal)
794	Treasury Inflation Program Security Stripped (Coupon)
800	Options
900	Units Equity Component
901	Corporate Units With Bonds