

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: ALLIANCE ACCOUNT BALANCE

GENERAL INFORMATION

File Frequency: Monthly
 Record Size: 132 characters
 Process Name: NJE—N/A; RJE—PRJ581MP; InfoDirect—INF410MP;
 FTP—DTM423MP
 Form Name: ACBB

DESCRIPTION:

The Account Balance file provides a monthly update on average daily balances in Federated Investors funds and money fund trails, AllianceBernstein funds and money fund trails, Pershing Government Account funds and money fund trails, along with all other money market funds that are offered at Pershing. The file is sorted by IBD number, mutual fund CUSIP, Investment Professional number and account number.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B (beginning Pershing file)
019-036	X(18)	AN	Literally "12B1 B ALLIANCE B B B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	Literally "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-131	X(27)	AN	Not Used
132-132	X(01)	AN	Literally "A"; indicates the end of the header record

PERSHING STANDARD FILE LAYOUTS

DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	VALUE="B1"
003-003	X(01)	AN	RECORD INDICATOR VALUE= "A"
004-009	9(06)	N	RECORD ID SEQUENCE NUMBER; "begins with
010-012	X(03)	AN	IBD NUMBER
013-016	X(04)	AN	FUND MNEMONIC; symbol used to identify a sweep money fund; consult with your new accounts department or operations for known sweep mnemonics used by your firm.
017-019	X(03)	AN	INVESTMENT PROFESSIONAL NUMBER
020-028	X(09)	AN	ACCOUNT NUMBER INCLUDING OFFICE(3); BASE CHECK DIGIT (1)
029-060	X(32)	AN	IBD NAME
061-064	v9(04)	N	COMMISSION FACTOR
065-075	9(9)v99	N	PAY OUT AMOUNT
076-086	9(9)v99	N	LAST MONTH PRINCIPAL
087-097	9(9)v99	N	THIS MONTH PRINCIPAL
098-108	9(9)v99	N	AVERAGE BALANCE
109-131	X(23)	AN	Not Used
132-132	X(01)	AN	Literally "X"; indicates the end of the detail record

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of file)
019-036	X(18)	AN	Literally "12B1 B ALLIANCE B B B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	Remote ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE B TOTAL B DETAIL B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-131	X(16)	AN	Not Used
132-132	X(01)	AN	Literally "Z"; indicates the end of the trailer record